

Erasmus+

Capacity Building in the field of Higher Education



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What information will you have at the end of the presentation?

Part I - General Overview of the programme

Part II – The Consortium and the financing rules

Part III – Results of the last Call, Application and Selection procedure



PART I - General Overview of the programme



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Part I: Definition

Capacity-Building Projects

- Transnational / multilateral **cooperation** projects
- Between **higher education** institutions (HEIs) and other organisations, where appropriate for the project

Part I : Programme/Partner Countries

Programme Countries

EU Member States:

Austria, Belgium, Bulgaria, Croatia, Cyprus, Czech Republic, Denmark, Estonia, Finland, France, Germany, Greece, Hungary, Ireland, Italy, Latvia, Lithuania, Luxembourg, Malta, Netherlands, Poland, Portugal, Romania, Slovakia, Slovenia, Spain, Sweden, United Kingdom

Other programme countries:

Iceland, Liechtenstein, Norway, former Yugoslav Republic of Macedonia, Turkey.

Partner Countries

ELIGIBLE PARTNER COUNTRIES >150

Target Beneficiaries

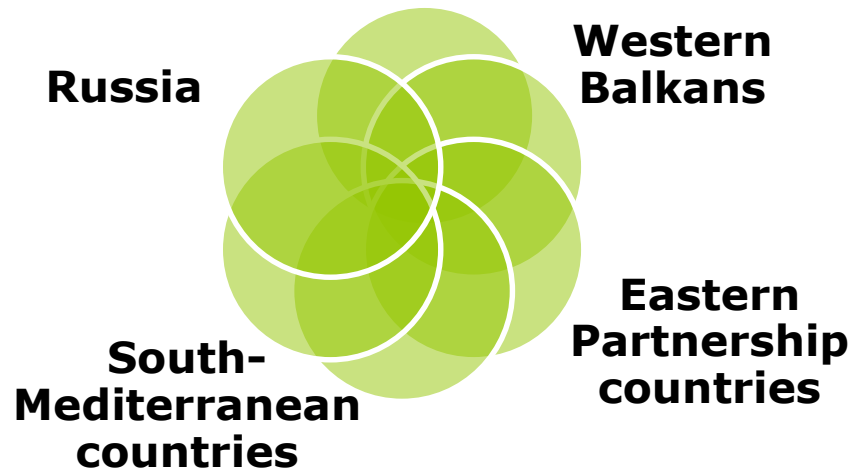




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Part I : Programme/Partner Countries

Partner Countries Neighbouring the EU



Other Partner Countries





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Part I : Background

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EU External Policies

**Internationalisation
and Modernisation
of Universities**



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Part I : Background - Approaches



Part I : How? – Types of Projects

Joint Projects: => Impact Institutions

- curriculum development
- university governance & management
- Links between HE **institutions** and the wider economic and social environment

Structural Projects: => Impact Systems

- modernisation of policies, governance and management of higher education systems
- Links between HE **systems** and the wider economic and social environment

Part I : How ?- Joint Projects – Example of Activities



Development, testing and adapting of tools and methods



Staff Training (academic and non-academic)



Strengthening internationalisation and promoting the Knowledge Triangle



Upgrading facilities necessary to implement innovative practices

Part I : How? Structural Projects – Example of Activities



Internationalisation and Bologna Process

ECTS, 3 cycles, recognition of degrees etc.



Quality

Frameworks, assurance systems/guidelines



Innovation

policy making + monitoring (including the establishment of representative bodies, organisations or associations)

Part I : How?



**Western Balkans, South-Mediterranean
and Eastern Partnership countries **ONLY****



Complementary for Joint and Structural projects



Additional to the core budget

For whom?

Students registered in HEIs involved in project consortia
Staff employed in a HEI or enterprise in project consortia



Ineligible:

Programme Country to Programme Country flows

Programme Country to Partner Country flows for Staff training purposes

Part I : How?

Conditions:

- Mobility should be instrumental and integrated in projects objectives (no mobility on its own)
- Added value and/or innovative character of the activities proposed (approx. 40% of the selected projects will receive additional funding)
- Comply with provisions of Erasmus Charter for Higher Education



Compulsory:

***Inter-institutional agreements
between institutions***

***Learning / mobility agreements
for students and staff***

Exemption of fees



**Special
Mobility
Strand**

Part I : How?

Students

Activities:

Study periods (3-12 months)/traineeships-work placement (2-12 months) or a combination of both

Characteristics:

- covers all cycles (BA, MA, PhD); corresponds to study area/academic discipline addressed by the project
- Students from HEIs of the consortia can study at partner HEI or do a traineeship at a consortia country institution



Part I : How?

Staff

Activities:

teaching & training periods (5 days to 2 months)

Characteristics:

teaching period=>

HEI teaching staff /staff from enterprises to teach at a partner HEI abroad

training period=>

HEI teaching and non-teaching staff can attend:

- a) structured courses/training events (conferences excluded);
- b) job shadowing/observation periods/trainings at a partner HEI/, relevant organisation abroad.

at partner HEI or any other relevant organisation in a consortia country

Part I : Who can Participate? - Eligible Applicants

State-recognised public or private Higher Education Institutions

Associations/ Organizations of Higher Education Institutions

Only for Structural Projects: recognized national or international rector, teacher or student organisations.

Applications can be submitted by organisations located either in Programme or Partner countries



**Exception
Libya,
Russia
and
Syria**

Part I : Who can Participate ? - Eligible Partners

As Full Partners:

State-recognised public or private HEIs

Any public or private organisation active in the labour market or in the fields of education, training and youth (e.g. enterprise, NGO)

Associations or organisations of HEIs with main focus on HE

As Associated Partners:

International governmental organisation (self-financing basis)



Each participating organisation can be located either in a Programme or in an eligible Partner country



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Part I: Who can participate? - Associated Partners

Contribute indirectly

“Associated partners” are not considered as part of the consortium and therefore cannot benefit from any financial support from the project

Ex: non-academic partners providing placement opportunities

Part II – The Consortium and the financing rules





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Part II: Consortia Structure

National Projects

(**1** Partner Country only + **min.**
3 Programme Countries)

Min.1 HEI from each
Programme Country

Min.3 HEI from the
Partner Country

At least as many
Partner Country HEIs as
Programme Country HEIs

STRUCTURAL
PROJECTS:
Partner
Country
Ministries
for HE must
participate

Multi-Country Projects

(**≥ 2** Partner Countries+ **min.**
3 Programme Countries)

Min.1 HEI from each
Programme Country

Min.2 HEI from **each**
Partner Country

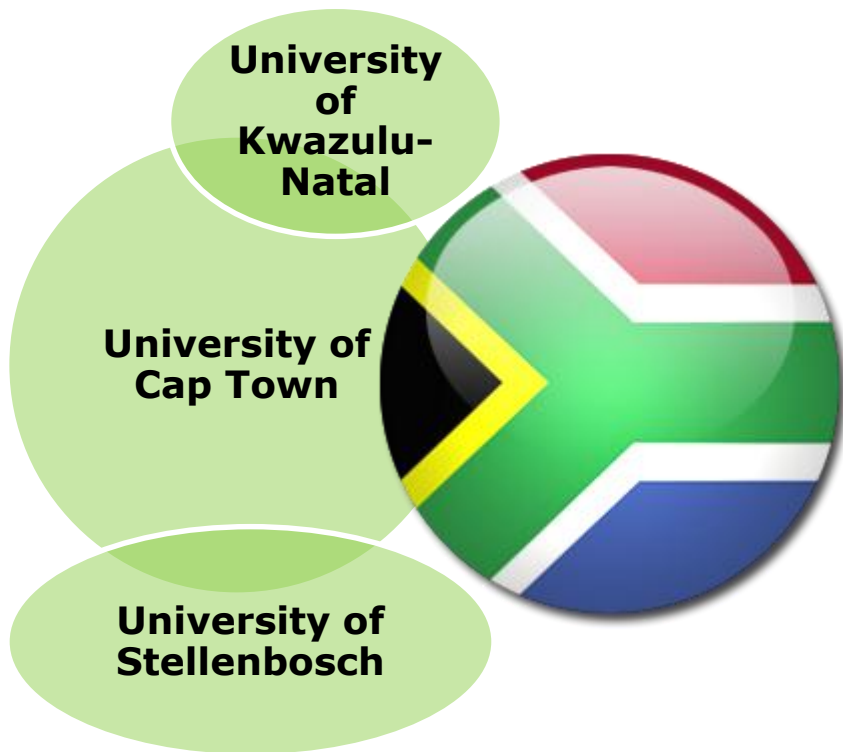
At least as many
Partner Country HEIs as
Programme Country HEIs

Exception
Russia
+ Latin
America

Ex.1 : minimum consortia: national project (6 HE institutions)

Min. 1 Partner Country: at least as many HEIs as in the Programme Countries

**Min. 3 Programme Countries
min. 1 HEI each**



Bonn University



Rome University



London University



Ex.2: multi-country project within one region

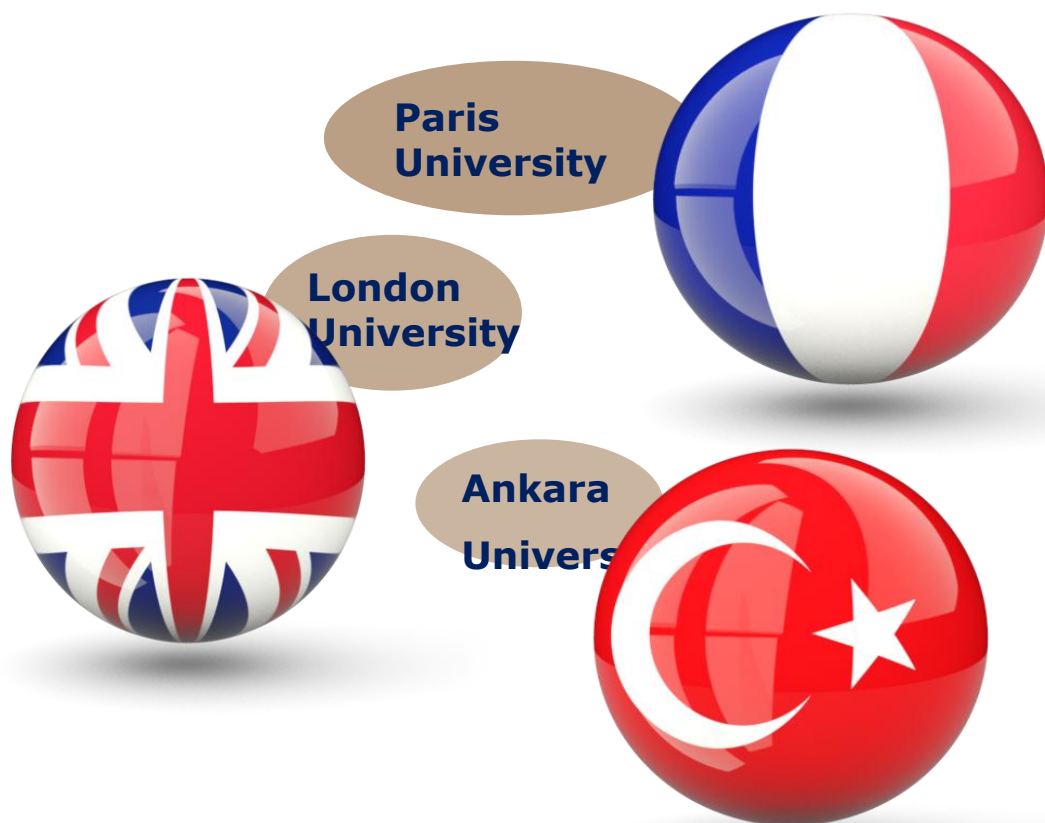
Min. 2 Partner Countries

Min. 2 HEIs each



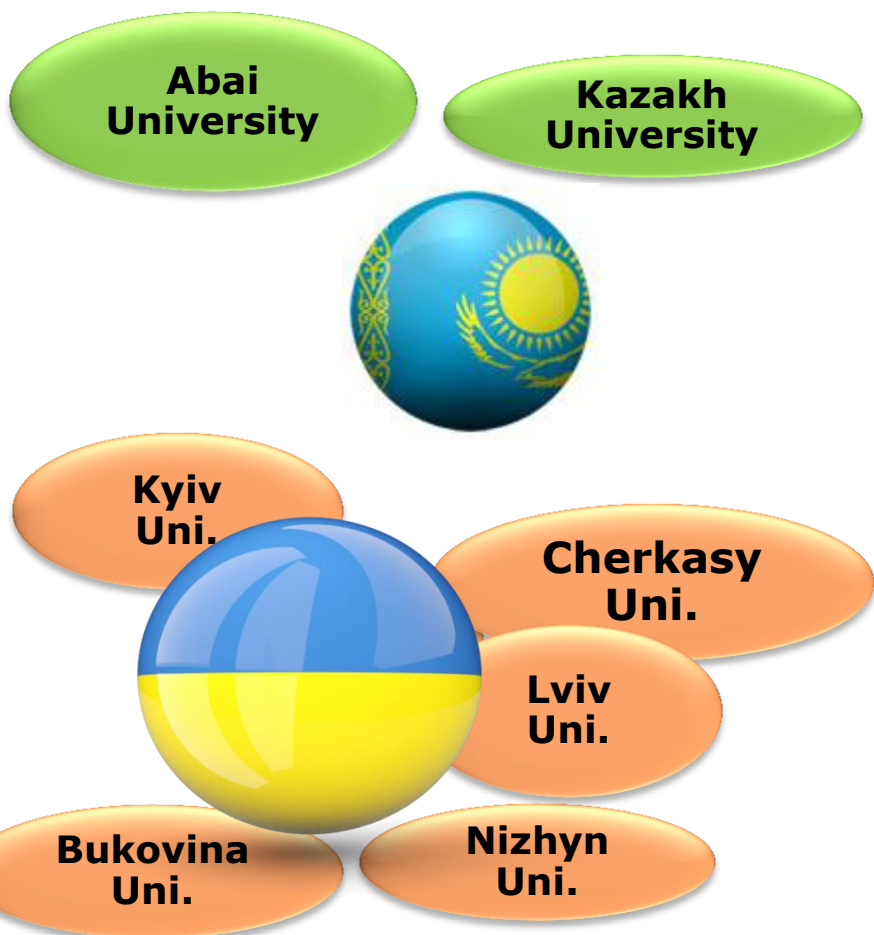
Min. 3 Programme Countries:

Min. 1 HEI each



Example 3: consortia composition (multi-country project different regions)

2 partner countries



3 programme countries



Ex.1: minimum consortia: **ineligible** national project/Latin America

Min. 1 Partner Country: at least
as many HEIs as in the
Programme Countries

Min. 3 Programme Countries
min. 1 HEI each





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Part II: Priorities & Types of projects

National Priorities defined by the **Ministries of Education** in close consultation with the EU Delegations

Regional priorities defined by the **Commission** and based on EU external policy priorities

National Projects

National projects must address:

National Priorities set for Partner Country in Regions 1, 2, 3, 7, 10

Regional Priorities for the regions where no national priorities are established: Regions 6, 8, 9, 11

Multi-Country Projects

Multi-country projects must address:

Regional priorities for countries in the same region (regional projects)

or

Regional / national priorities common to different regions (cross-regional projects)



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Part II: National Priorities – Ukraine (1/2)

Category A:

Curriculum development projects

- Teacher training & education
- Social and Behavioural science
- Life sciences
- Physical sciences
- Computing
- Engineering and engineering trades
- Architecture & building
- Health
- Transport services

Category B:

Improving quality of education & teaching

- Learning & teaching tools: methodologies & pedagogical approaches
- Multidisciplinarity / Interdisciplinarity



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Part II: National Priorities – Ukraine (2/2)

Category C:

Improving management & operation of HEIs

- Governance, strategic planning & management of HEIs (incl. HR & financial management)
- Quality assurance processes and mechanisms
- Development of research and innovative capacities

Category D:

Developing the HE sector within society at large

- University-enterprise cooperation, employability of graduates
- Qualification frameworks
- Knowledge triangle, innovation
 - Reinforcing links between education, research & business



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Part II: Regional Priorities – Eastern partnership (1/2)

Category A:

Curriculum development projects

- Teacher training & education science
- Social & behavioural science
- Law
- Physical sciences
- Agriculture, forestry & fishery
- Health
- Environmental protection

Category B:

Improving quality of education & teaching

- Learning & teaching tools
- Methodologies & pedagogical approaches



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Part II: Regional Priorities – Eastern partnership (2/2)

Category C:

Improving management & operation of HEIs

- Governance, strategic planning & management of HEIs
(incl. HR & financial management)
- Internationalisation of HEIs
(incl. recognition mechanisms & mobility)
- Access to & democratisation of HE
(incl. disadvantaged groups of people)

Category D:

Developing the HE sector within society at large

- University-enterprise cooperation, entrepreneurship & employability of graduates
- Knowledge triangle & innovation
→ Reinforcing links between education, research & business
- International cooperation at regional/cross-regional level
- Definition, implementation & monitoring of reform policies



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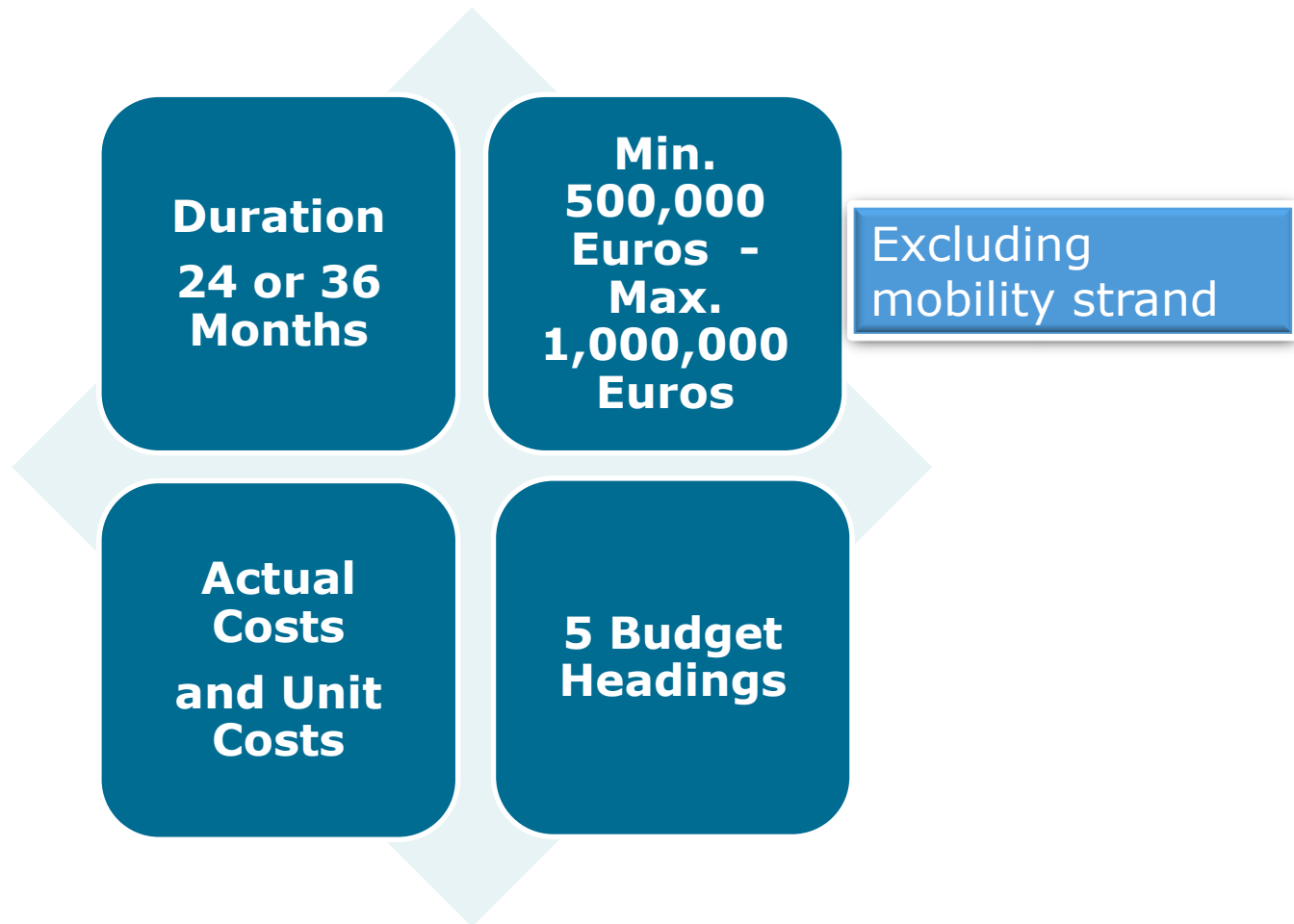
Part II: Budget and Duration

Instrument financier		Indicative total amount published in the 1st call €	Indicative total amount to be published for the 2nd Call €
IPA		12,67	13,17
ENI	South	28,06	28,57
	<i>Special window Jordan</i>	5,00	NA
	East	13,66	13,86
	Russia	6,72	6,89
DCI	Asia	33,46	35,38
	Central Asia	8,68	9,20
	Middle East	1,85	1,90
	<i>Special window Iran</i>	-	0,69
	Latin America	12,26	13,10
	South Africa	3,42	3,42
EDF	ACP Countries	-	5,29
TOTAL		125,78	131,47



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Part II: Budget and Duration - Overview





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Part II: Budget Categories

Staff costs (max 40%)

4 Staff Categories (Manager, Researcher/ Teacher/Trainer, Technician, Administrator)

Travel costs

Students/staff from partners in countries involved in the project from their place of origin to the venue of the activity and return.

Costs of stay

Subsistence, accommodation, local and public transport, personal or optional health insurance.

Equipment (max 30%)

Purchased exclusively for the benefit of HEIs in the Partner Countries

Sub-contracting (max 10%)

Exceptional for services related to competences that can't be found in the consortia



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Part II: How to calculate the budget - Methods

5 Budget Categories



Staff UC

Travel UC

Costs of Stay UC

Equipment RC

Subcontracting RC

2 Allocation & Justification Methods

Real Costs (RC)

Unit Costs (UC)

Other types of costs are not considered for the calculation of the grant.



Expected to be **covered by co-funding.**



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Part II: Actual costs vs. Unit Costs

- **Real costs:** How did you **use** the grant ?
=> Expenses incurred, supporting documents
- **Unit costs:** What did you **achieve** with the grant ?
=> **Result-based**
=> No need to prove the actual expenditure but you need to show the "triggering event" (i.e.: the fact the activity was indeed properly implemented (e.g. teaching, training))



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Part II: Unit Costs

Unit costs

Grant **Allocation** *(Application)*

Volume / nature of activities proposed in the application

Grant **Justification** *(Final report)*

Eligibility verification of the "triggering event"

Use of the Grant

Internal decision of the partnership
(consistent with application)



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Part II: Calculation of the Travel/Cost of Stay budget

Costs of Stay

Travel Costs

for eligible activities please refer Programme Guide

DAYS	STAFF	STUDENTS
1-14	120€	55€
15-60	70€	n.a
15-90	n.a	40€
61 - 180	50€	n.a

Distance Bands (one way)	Unit Cost (return trip)
100-499 km	180€
500-1999 km	275€
2000-2999 km	360€
3000-3999 km	530€
4000-7999 km	820€
8000 km and more	1.100€

Part II: How to calculate the budget - Travel/Cost of Stay

http://ec.europa.eu/programmes/erasmus-plus/tools/distance_en.htm

Example 1:

Staff Trip: From Johannesburg to Bxl
(**8878 KM**)

Duration 3 days

Real expense:

Travel Costs :800 €

Hotel + Subsistence Costs (650 €)

Total real expenses: 1450 €

Calculation (unit-costs):

Travel Costs: 1100 €

Costs of Stay: 4 x 120 € =480 €

Total unit-costs: 1580 €

Example 2 :

Staff Trip: From Paris to Berlin (**771 KM**)

Duration 2 days

Real expense:

Travel Costs :250 €

Hotel + Subsistence Costs (300 €)

Total real expenses: 550 €

Calculation (unit-costs):

Travel Costs: 275 €

Costs of Stay: 2 x 120 € =240 €

Total unit-costs: 515 €



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Part II: How to calculate the budget -

**Special
Mobility
Strand**

Max. 80% of the total EU grant awarded for the joint or structural project covering the eligible countries(excluding the mobility strand)

Travel costs

Cost of Stay



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Part II: How to calculate the budget -

**Special
Mobility
Strand**

Travel Costs

Distance Bands	Unit Cost
100-499 km	180€
500-1999 km	275€
2000-2999 km	360€
3000-3999 km	530€
4000-7999 km	820€
8000 km and more	1.100€



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Part II: How to calculate the budget STUDENTS - Cost of Stay

**Special
Mobility
Strand**

Costs of Stay **€/Month**

Students from Programme Countries

Irrespective of hosting country
650 €

Students from Partner countries

Country group 1 hosting	Country group 2 hosting	Country group 3 + 4 hosting
850 €	800 €	750 €



Part II: How to calculate the budget STAFF Costs of Stay

**Special
Mobility
Strand**

Cost of Stay €/Day
Staff from Partner Countries

Cost of Stay €/Day
Staff from Programme Countries

Days	Country group 1 hosting	Country group 2 hosting	Country group 3 hosting	Country group 4 hosting
1-14	160 €	140 €	120 €	100 €
15-60	112 €	98 €	84 €	70 €

Days	Irrespective of hosting country
1-14	160 €
15-60	112 €



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Part II: Partnership Agreement

- **Mandatory**
- **To be submitted to the Agency within 6 months of the signature of grant contract (Signed by the legal rep.)**
- **Joint** (one doc signed by all partners) **or Bilateral** (partner A + coordinating inst.)
- **Template** available to be adapted to specific needs of partnership
- **Comprehensive** : covering **all aspects** of the project:
 - The **partners role** and responsibilities;
 - **Financial Management;**
 - **Project Management;**
 - **Project Quality Assurance;**
 - **Student issues**
 - **Decision/Conflict** resolution mechanisms



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Part III – Results of the last Call, Application and Selection procedure





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Part III Overview on selection progress on target regions (including cross-regional)

Total applications = 515: 140 recommended for funding (27% from total received)

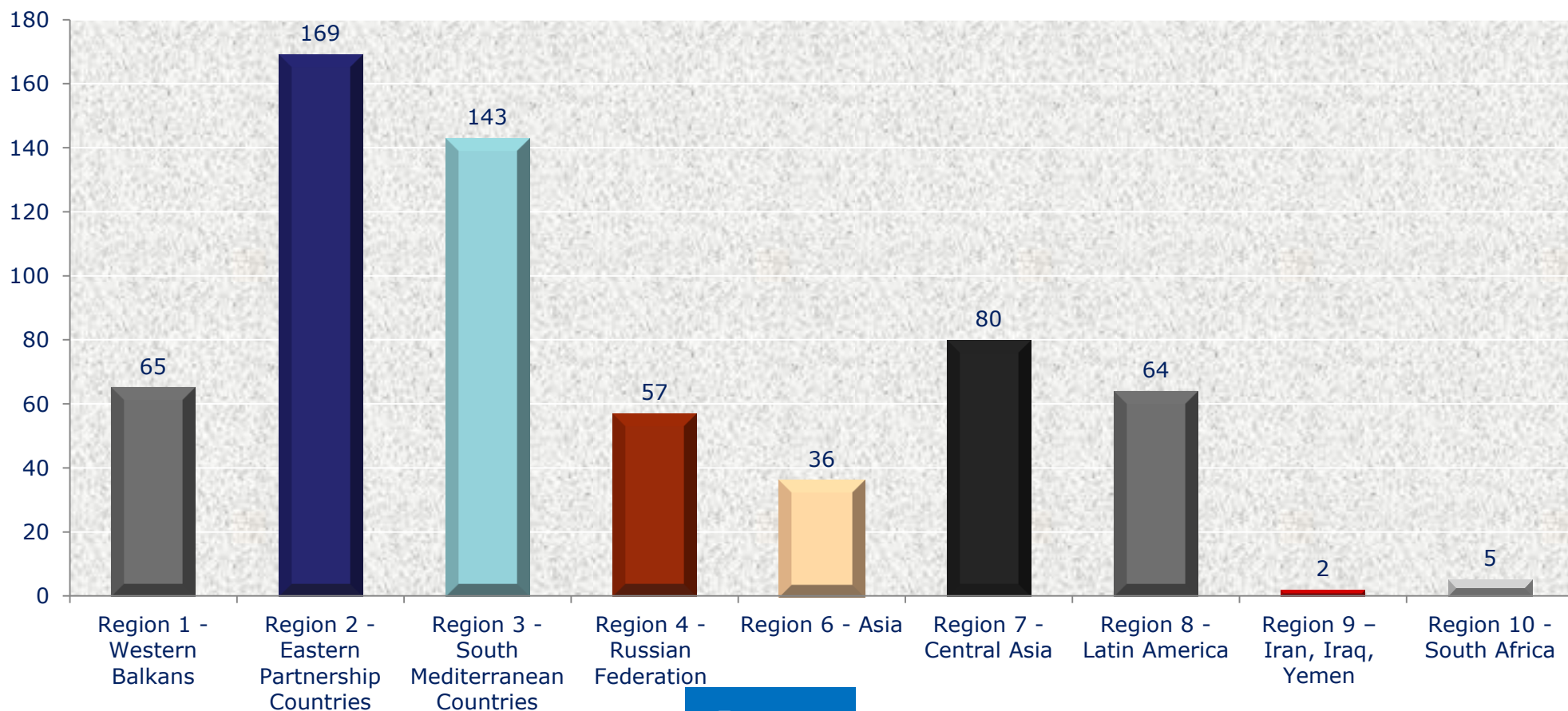
Regions	Received applications	Selected Applications	Success Rate
Region 1 - Western Balkans	65	15	23%
Region 2 - Eastern Partnership Countries	169	23	14%
Region 3 - South Mediterranean Countries	143	40	28%
Region 4 - Russian Federation	57	13	23%
Region 6 - Asia	36	27	75%
Region 7 - Central Asia	80	23	29%
Region 8 - Latin America	64	19	30%
Region 9 - Iran, Iraq, Yemen	2	2	100%
Region 10 - South Africa	5	4	80%

Regional Data cannot be added since the same project can involve several regions



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Part III Coverage of the regions (including the cross-regional projects)



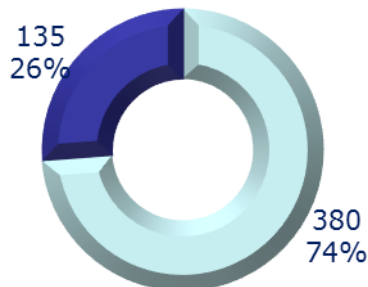


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Part III: Total number of applications received by type of projects

515 applications

Applicants' countries



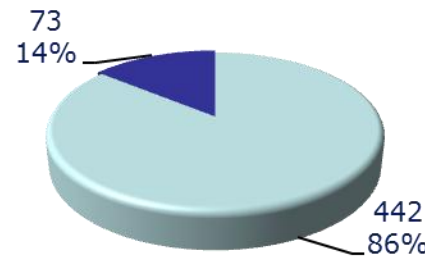
- Programme Countries
- Partner Countries

National- / Multi-country



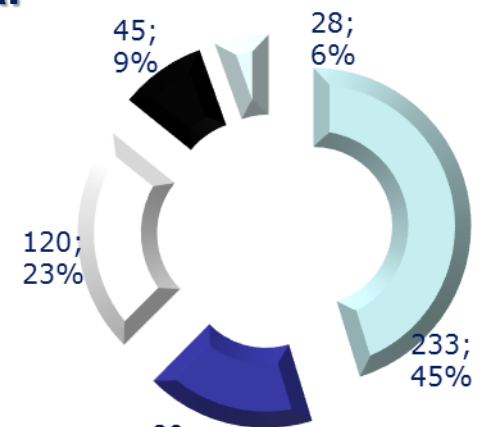
- National
- Multi-Country

Joint / Structural Projects



- Joint Projects
- Structural Projects

Categories



- JP Curriculum development
- JP Modernisation of HEIs governance
- JP Strengthening relations of HEIs with society
- SP Modernisation of HE systems
- SP Strengthening relations of HE systems

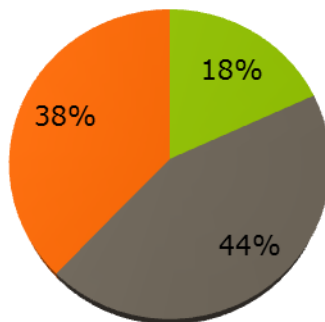


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Part III: Number of Projects with Special Mobility Strand

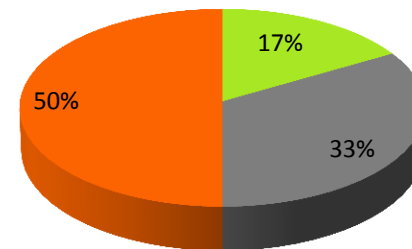
Applications Per Eligible Region (65 applications)

- Western Balkan countries
- Eastern Partnership countries
- South-Mediterranean countries



Selected Per Eligible Region (6 Projects)

- Western Balkan countries
- Eastern Partnership countries
- South-Mediterranean countries



Success Rate: 9%



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Part III: Summary of Results 1st Call

Proposals recommended for funding: **140** (*27% from 515 submitted*)

Proposals with Partner Country coordinator: **28** (*20%*)

Joint Projects: **122** (*87%*)

Structural Projects: **18** (*13%*)

National: **53** (*38%*)

Multi-country: **87** (*62%*)

Average consortium size: **12 partners** (*min. 6 –max. 34*)

Average budget: **880.000 €**



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Part III: Lessons Learned

- Relatively high percentage of **ineligible applications** (12%) due to insufficient understanding of minimum requirements for consortia;
- Limited number of applications **in Asia and South Africa**;
- **National/regional priorities** established for the Partner Country **need to be respected** and matched with the local needs (assessed in award criterion 'relevance');
- A need to mobilise **new institutions** (not the usual suspects) both in Programme and Partner Countries, **as grant holders and/or partners**;
- Outreach **beyond the capital cities** to institutions in the **regions** and the **periphery**;
- Lack of innovative approaches (**new content and methodologies**);



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Part III: Application and Selection procedure Indicative roadmap for selection process

Steps	Date
Publication Erasmus+ CBHE Call for Proposals	early October 2015
Deadline for submission of applications	10 February 2016
Verification of eligibility of project proposals	February – March 2016
Assessment of projects by experts (remotely)	mid-March – mid May 2016
Consultation procedure	mid-May – mid-June 2016
Evaluation Committee for selection of projects	early July 2016
Sending for signature of Award decision by Agency AO	mid-July 2016
Notification of applicants & publication of results	end-July 2016
Preparation and signature of grant agreements	August-September 2016
Start of eligibility period	<u>15 October 2016</u>



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Part III: How and what do I submit? - General

When? How? Where?

- One deadline - One-phase submission
- On-line to EACEA
- Application form = unique reference information for the submission deadline.

What?

eForm: specific application form:

- Project data – parts A, B, C
- Compulsory annexes:

- ✓ **Detailed project description** (Word doc)
Parts D, E, F, G, H, I, J (incl. Workplan & Logical Framework Matrix)
- ✓ **Budget tables** (Excel doc)
- ✓ **Declaration of Honour+ Mandates** (in one single PDF doc)



Pre-filled with
info from
Participant
Portal - PIC



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Part III: Assessment and selection of CBHE Projects

**Eligibility
Criteria**

**Exclusion
&
Selection
Criteria**

**Award
Criteria**



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Part III: Assessment of CBHE Projects / Eligibility

*Formal **submission requirements***

Grant size and duration

***Applicant, Partner and Partnership requirements**
(number of partners, status of the grant applicant & partners, etc)*



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Part III: Ineligibility reasons

Eligible	452
Ineligible	63
➤ Minimum number of higher education institutions required not respected	44
➤ Missing Ministries for higher education in Structural Project	12
➤ Wrong / missing mandates related to partners; applicants contacted for correction and no reply received	3
➤ Applicant not having the profile required for eligible organisations	2
➤ Incomplete applications	2
TOTAL (Eligible + Ineligible)	515



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Part III: Award Criteria

Relevance
(30 points)

**Quality of
Design +
Implementation**
(30 points)

**Quality of
Team +
Cooperation
arrangements**
(20 points)

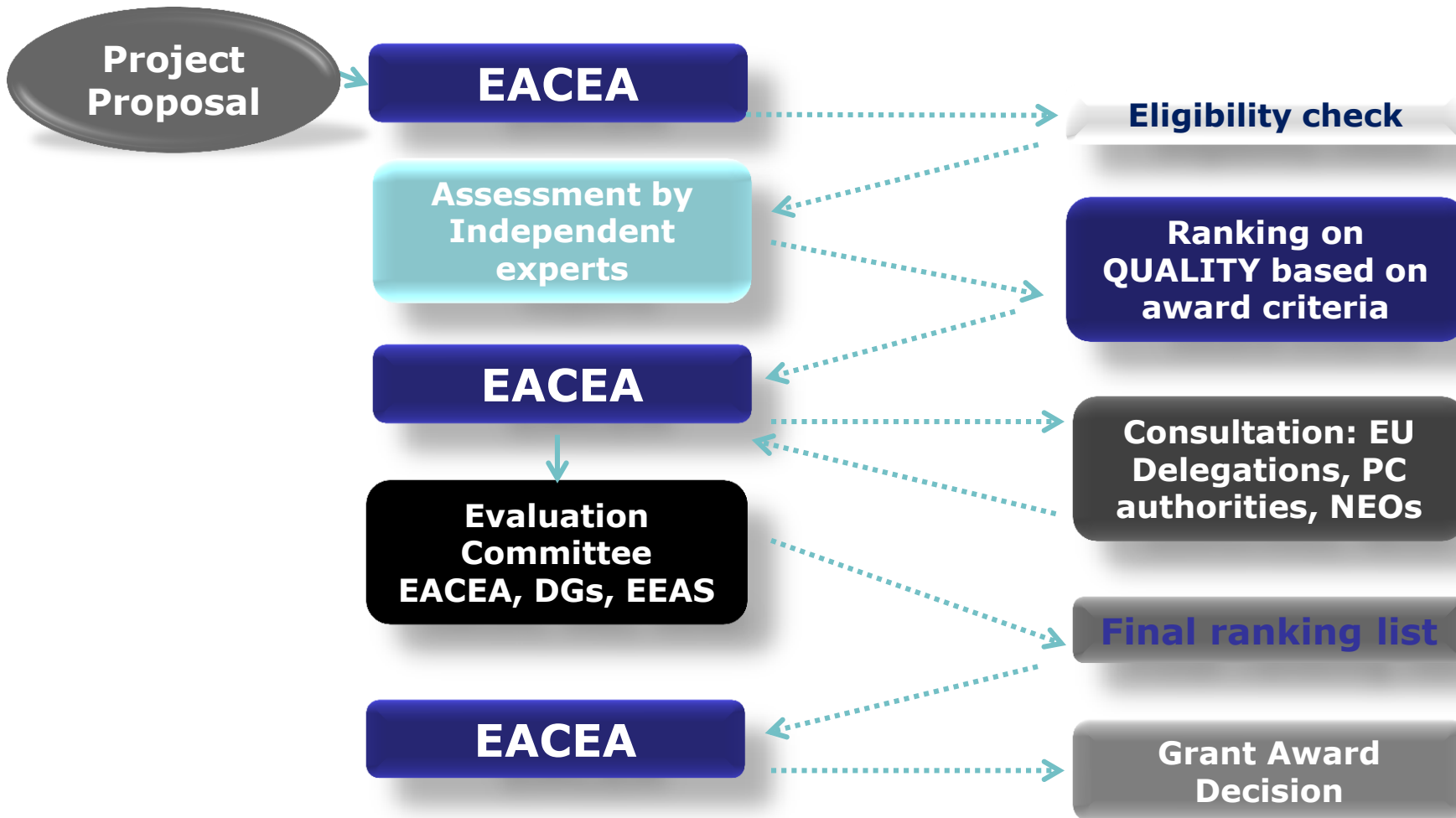
**Impact and
Sustainability**
(20 points)

**To be considered for funding, proposals must score
at least 60 points in total and - out of these points at least 15 points for "Relevance"**



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Part III: Selection Process





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Part III: Selection Process – Award decision

**EACEA takes
decision based on:**

**Evaluation
Committee's
recommendation,
taking into account:**

*ranking list on quality established by external
experts*

the results from the consultation process

the budget available for each region

*the need to achieve a geographical balance
within a region*

sufficient coverage of the priorities



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Local Support

Centrally managed (EACEA) but local support :

International E+ Contact Points (ICPs) in Programme Countries

https://eacea.ec.europa.eu/erasmus-plus/contacts/international-erasmus-plus-contact-points_en

National Erasmus+ Offices (NEOs) in certain Partner Countries

https://eacea.ec.europa.eu/erasmus-plus/contacts/national-erasmus-plus-offices_en

Other useful links:

Erasmus+ website - EACEA

http://eacea.ec.europa.eu/erasmus-plus_en

Programme Guide Version 3 (2015): 20/10/15

Erasmus+ website – EU Commission

http://ec.europa.eu/programmes/erasmus-plus/index_en.htm



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Part IV: – Key messages for the 2nd Call

- ✓ Intensify efforts to encourage the cooperation with Asian countries;
- ✓ Disseminate widely the new opportunity to cooperate with ACP countries;
- ✓ Pay particular attention to the eligibility criteria, mainly minimum number of nationally recognised HEIs in consortia;
- ✓ Respect strictly the national / regional priorities addressed to each Partner Country affecting the score on Relevance (threshold of 50% must be reached to pass to the next selection stages);
- ✓ Insist on the need to empower the Partner Country partners from the early stages of the proposal preparation.



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thank you

merci
obrigado
grazie
gracias
kiitos
спасиби
tack
köszönöm
хвала
danke
ačiū
Dank u
hvala vam
tak
teşekkür ederim
bakka þér
σας ευχαριστώ
ddiolch 'ch
dëkuj
dziękuje
תודה
Ďakujem
hvala
Tak
blagodarya
Tapadh leibh
falemmnderit
спасибо
trugarez
multumesc
tanan teid
Дзякуй
Vi благодарам
謝謝
धन्यवाद
Go raibh maith agaibh
Pablies